Rates of Switching Away from Smoking Using JUUL over Two Years in Populations of Special Interest

Saul Shiffman, Ph.D.

Senior Scientific Advisor, Pinney Associates, Consultants to JUUL Labs
Professor, Psychology, Psychiatry, Pharmaceutical Sciences, Clinical Translational Research,
University of Pittsburgh

Sooyong Kim, MD, Pinney Associates
Arielle Selya, PhD, Pinney Associates
Nicholas Goldenson, PhD, JUUL Labs, Inc.

Research data for the ADJUSST study collected by Center for Substance Abuse Research, Glasgow UK

Introduction

- ENDS very significantly reduce exposures to Harmful and Potentially Harmful Chemicals in cigarette smoke¹⁻³
- For adult smokers, switching to ENDS is likely to significantly reduce risk⁴⁻⁶
- Strong evidence that smokers can and do switch completely away from smoking using ENDS⁷⁻¹⁰
- Multiple models find that ENDS will benefit the population as a whole, with benefits to adult smokers outweighing adverse effects (e.g., among youth)¹¹⁻¹³

Do Adult Smokers Switch Completely Away from Smoking Using JUUL ENDS?

- The Adult JUUL Users Smoking & Switching Trajectories (ADJUSST) Study¹⁴
- Sample: Adult (21+) US smokers who made a first purchase of a JUUL Starter Kit in 2018
- Followed for up to 2 years at 1, 2, 3, 6, 9, 12, 15, 18, 21, 24 months
 - Online surveys
 - Multiple publications on Year 1 data¹⁵⁻¹⁷
 - Year 2 data newly analyzed
- Observational study: No dictated behavior change, no intervention, no behavioral directions, no free product
- N=18,420 established smokers with data at follow-ups
- Key outcome: Switching = no smoking ("not even a puff") for past 30 days

Limitations & Strengths

Limitations

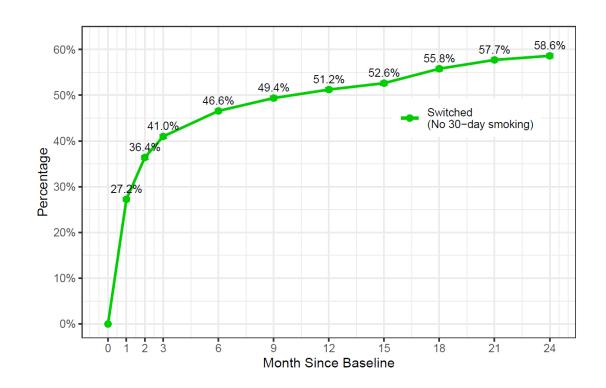
- Smoking status by self-report, not biochemically verified, no imputation
 - Typical of naturalistic observational survey studies (e.g., PATH, ITC)^{9,10,18}
- Substantial amounts of missing data
 - Due to intermittent non-response, not drop-out¹⁴
 - Analyses indicate little likelihood of bias¹⁴
- Not the full range of JUUL users
 - Represents users who adopted JUUL, not those who merely sampled (past-30-day users)

Strengths

- Large sample
- Long, detailed follow-up
- Confirmed brand purchase

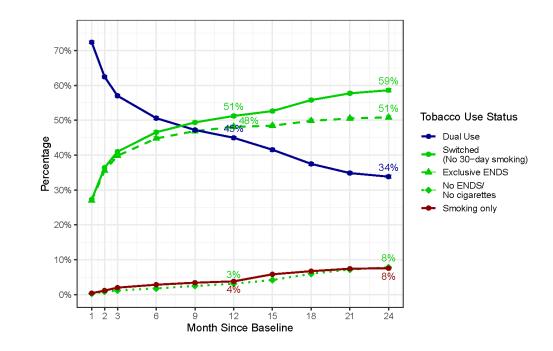
Substantial Rates of Switching With Continual Increase into Year 2

- Majority switched at month 12
- Continued increase to Month 24



The two-Year Trajectory of Smokers Using JUUL (and/or Other ENDS)

- Dual use initially dominant
- Dual use declines and is increasingly displaced by switching
- Continues into year 2
 - (Switching to other ENDS brands increases from 3% to 10%)
- In Year 2, more disposition into
 - Discontinuation of both smoking and ENDS use
 - Smoking



Smokers Switch with JUUL... But in which Populations?

- Need to attend to diversity in the smoking population, and to addressing disparities
- Important variations in smoking prevalence, difficulty stopping smoking, and smoking-related disease

Disparities in Smoking are of Concern

"Cigarette smoking rates in the U.S. have declined considerably... [but] progress hasn't been experienced equitably"

"Smoking disproportionately affects ... certain racial and ethnic populations, low-income populations, people living with mental health conditions"

"We ... have a tremendous opportunity to create meaningful change for populations ... disproportionately affected by tobacco use."

- Dr. Brian King¹⁹ Director, Center for Tobacco Products, Food and Drug Administration

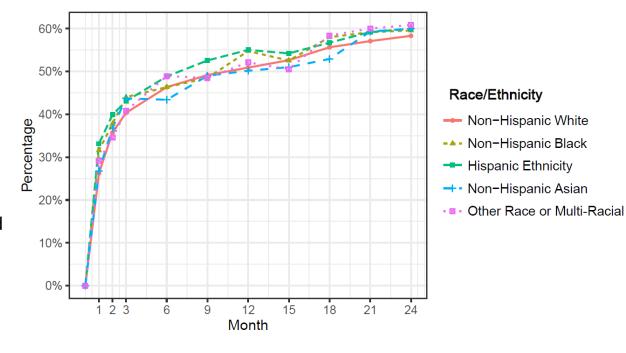
Switching Rate by Race/Ethnicity

Literature/background

- Some racial minorities bear a disproportionate burden of tobacco-related disease^{20,21}
- Some disparities in smoking cessation rates^{22,23}

Findings

 Very similar switch rates and switch trajectories for all groups examined



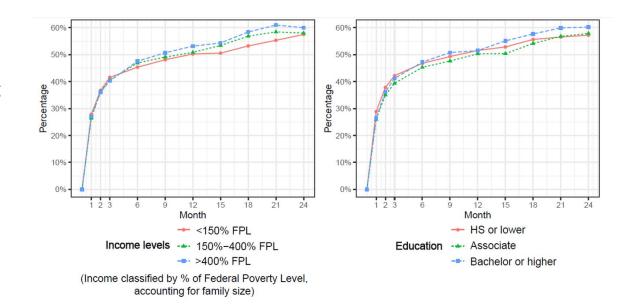
Switching By Socioeconomic Status: Income and Education

Literature/background

- Socioeconomic disparities in smoking prevalence²⁴
- Lower quit rates among smokers with lower-income, less education^{22,23}

Findings

 Similar switch rates across strata



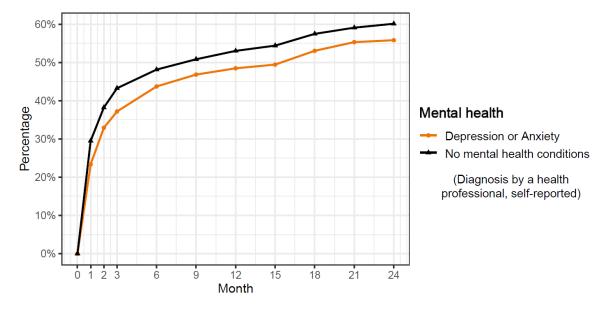
Switching Rate by History of Diagnosed Mental Health Conditions

Literature/background

- Higher prevalence of smoking in people with mental health conditions²⁴
- Lower quit rates in people with mental health conditions²⁵

Findings

- Based on reported professional diagnoses of anxiety and/or depression, the most common conditions
- Slightly lower switch rates for people with a history of mental health issues... still ≥55%



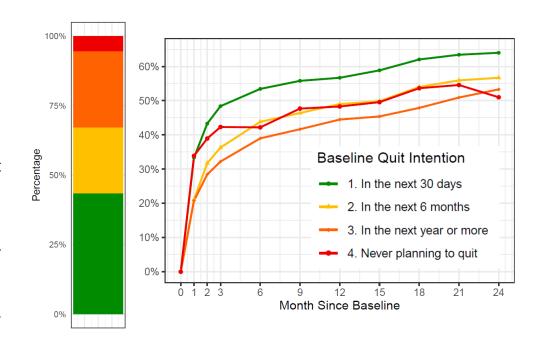
High Switch Rates at 24 Months Across a Range of Populations of Special Interest

- By race/ethnicity
- By socioeconomics (income & education)
- By mental health conditions

 But there are other sources of population diversity....

Heterogeneity in Smokers' Commitment to Stopping Smoking

- Unlike smoking cessation treatment, use of ENDS and entry into ADJUSST not based on commitment to quit smoking
- Stages of Change concept: Time horizon for quitting smoking
- Majority of JUUL purchasers were not ready to quit smoking (i.e., 30 days)
 - Some were NEVER planning to quit smoking ("hardcore smokers")
- All achieved at least 50% switching by month 24...
 Even the "never-quitters"
- Addressing smokers not addressed by cessation treatment



Another Source of Diversity: Preference for Menthol-Flavored ENDS

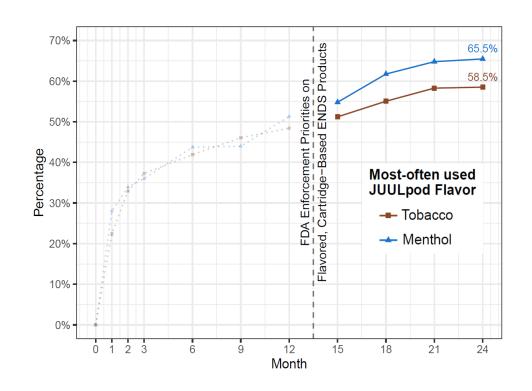
- Market indicates diversity in preference for flavors in ENDS²⁶
- FDA considers menthol flavor a risk for youth uptake, needs evidence of a benefit in adult smokers to balance this perceived risk^{27,28}
- Is use of menthol-flavored ENDS associated with improved switching among adult smokers?

Do Switch Rates Vary Between Tobacco- and Menthol-Flavored JUUL?

- Flavor use varies between people... and over time (i.e., not a stable 'baseline' factor)
- Year 1 data complicated by diversity of flavors
- Year 2 is after withdrawal of other flavors

Findings

 Higher switch rate when using Menthol

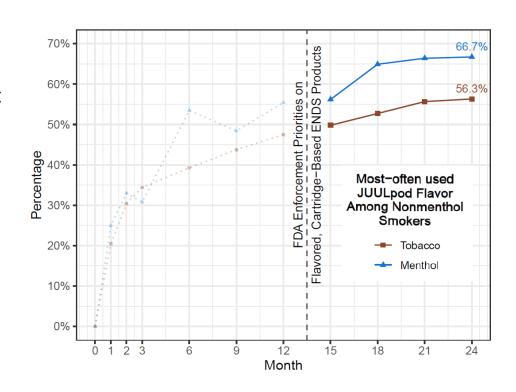


Are Higher Switch Rates with Menthol Due to Matching Cigarette Flavor Preference?

- Smokers of menthol cigarettes prefer menthol ENDS²⁹
- So, does using Menthol JUUL just benefit Menthol smokers?
- Might be time-limited benefit if menthol cigarettes are banned...
- Examine menthol effect in smokers of non-menthol cigarettes

Findings

 Using Menthol JUUL specifically benefits non-menthol smokers



Key Findings

- The majority of adult smokers who purchased JUUL report having switched completely away from smoking at 24 months
- Likelihood of switching continues to increase, and dual use continues to decrease in 2nd year of use: This is a long-term process / journey
- Similar trajectories and similarly high switch rates in subgroups of special interest and concern, by ethnicity, socioeconomic status, mental health conditions
- Smokers take up JUUL/ENDS even without being ready to quit, and can nevertheless achieve high switch rates
- Use of menthol JUUL associated with significantly higher switch rates among smokers of non-menthol cigarettes

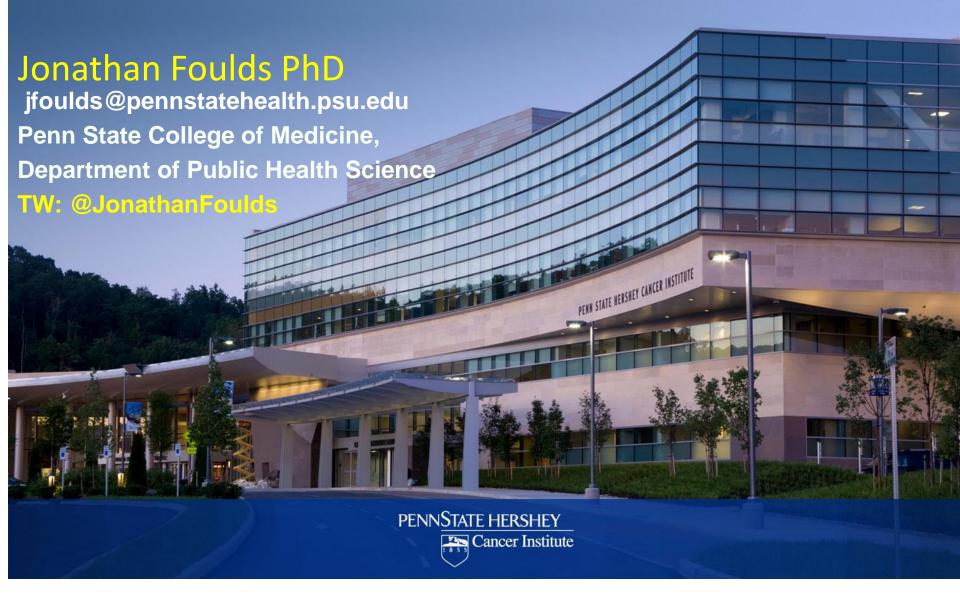
Key Conclusions

- Adult smokers using JUUL can achieve high switch rates
- Transitions from dual use to switching over time; suggests switching is a journey, not an abrupt change
- Switch rates similar across groups; suggests benefit is widespread and may help address disparities across subpopulations
 - "We ... have a tremendous opportunity to create meaningful change for populations ... disproportionately affected by tobacco use." Dr. Brian King, CTP, FDA¹⁹
- ENDS adopted by smokers not ready to quit, and many do switch nevertheless; suggests ENDS reach beyond "smoking cessation" audience
- Menthol-flavored ENDS provide an incremental benefit in adult switching among smokers of non-menthol cigarettes; suggests may have population benefit

References

- Cohen G, Goldenson NI, Bailey PC, Chan S, Shiffman S. Changes in biomarkers of cigarette smoke exposure after 6 days of switching exclusively or partially to use of the JUUL system with two nicotine concentrations: a randomized controlled confinement study in adult smokers. Nicotine and Tobacco Research. 2021;23(12):2153-2161.
- 2. Pulvers K, Nollen NL, Rice M, et al. Effect of pod e-cigarettes vs cigarettes on carcinogen exposure among African American and Latinx smokers: a randomized clinical trial. *JAMA network open.* 2020;3(11):e2026324-e2026324.
- 3. Goniewicz ML, Smith DM, Edwards KC, et al. Comparison of nicotine and toxicant exposure in users of electronic cigarettes and combustible cigarettes. JAMA network open. 2018;1(8):e185937-e185937.
- 4. U.S. Department of Health Human Services. *The health consequences of smoking*—50 years of progress: a report of the Surgeon General. Atlanta (GA): U.S. Department of Health Human Services, Centers for Disease Control and Prevention, National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health; 2014.
- 5. McNeill A, Brose LS, Calder R, Bauld L, Robson D. Evidence review of e-cigarettes and heated tobacco products 2018. A report commissioned by public health England London: Public Health England. 2018;6.
- 6. National Academies of Sciences E, Medicine, Health, et al. In: Eaton DL, Kwan LY, Stratton K, eds. Public Health Consequences of E-Cigarettes. Washington (DC): National Academies Press (US); 2018.
- 7. Levy DT, Yuan Z, Luo Y, Abrams DB. The Relationship of E-Cigarette Use to Cigarette Quit Attempts and Cessation: Insights From a Large, Nationally Representative U.S. Survey. Nicotine Tob Res. 2018;20(8):931-939.
- 8. Johnson L, Ma Y, Fisher SL, et al. E-cigarette Usage Is Associated With Increased Past-12-Month Quit Attempts and Successful Smoking Cessation in Two US Population-Based Surveys. *Nicotine Tob Res.* 2019;21(10):1331-1338.
- 9. Coleman B, Rostron B, Johnson SE, et al. Transitions in electronic cigarette use among adults in the Population Assessment of Tobacco and Health (PATH) Study, Waves 1 and 2 (2013-2015). *Tob Control.* 2019;28(1):50-59.
- 10. Kalkhoran S, Chang Y, Rigotti NA. Electronic Cigarette Use and Cigarette Abstinence Over 2 Years Among U.S. Smokers in the Population Assessment of Tobacco and Health Study. *Nicotine Tob Res.* 2020;22(5):728-733
- 11. Levy DT, Borland R, Lindblom EN, et al. Potential deaths averted in USA by replacing cigarettes with e-cigarettes. *Tobacco control.* 2018;27(1):18-25.
- 12. Wissmann R, Zhan C, D'Amica K, Prakash S, Xu Y. Modeling the Population Health Impact of ENDS in the US. American Journal of Health Behavior. 2021;45(3):588-610.
- 13. U.S. Food and Drug Administration. Technical Project Lead {TPL} Review of PMTAs: PM0000SSI, PM0000553, PM0000560. https://www.fda.gov/media/153017/download. Published Oct 12, 2021. Accessed Mar 23,, 2023.
- 14. Shiffman S, Sembower MA, Augustson EM, et al. The Adult JUUL Switching and Smoking Trajectories (ADJUSST) Study: Methods and Analysis of Loss-to-Follow-up. Am J Health Behav. 2021;45(3):419-442.
- 15. Goldenson NI, Shiffman S, Hatcher C, et al. Switching away from Cigarettes across 12 Months among Adult Smokers Purchasing the JUUL System. Am J Health Behav. 2021;45(3):443-463.
- 16. Kim S, Shiffman S, Le GM. Switching away from Cigarette Smoking with JUUL: Populations of Special Interest. Am J Health Behav. 2021;45(3):486-504.
- 17. Selya AS, Shiffman S, Greenberg M, Augustson EM. Dual Use of Cigarettes and JUUL: Trajectory and Cigarette Consumption. Am J Health Behav. 2021;45(3):464-485.
- 18. Yong HH, Hitchman SC, Cummings KM, et al. Does the Regulatory Environment for E-Cigarettes Influence the Effectiveness of E-Cigarettes for Smoking Cessation?: Longitudinal Findings From the ITC Four Country Survey. *Nicotine Tob Res.* 2017;19(11):1268-1276.
- 19. King B. Bringing Health Equity to the Forefront of Tobacco Product Regulation, HPHR, 2022;61.
- 20. Espey DK, Jim MA, Cobb N, et al. Leading causes of death and all-cause mortality in American Indians and Alaska Natives. Am J Public Health. 2014;104 Suppl 3(Suppl 3):S303-311.
- 21. Robinson RG, Barry M, Bloch M, et al. Report of the Tobacco Policy Research Group on marketing and promotions targeted at African Americans, Latinos, and women. Tobacco Control. 1992;1(Suppl 1):S24-S30.
- 22. Leventhal AM, Dai H, Higgins ST. Smoking Cessation Prevalence and Inequalities in the United States: 2014-2019. JNCI: Journal of the National Cancer Institute. 2021;114(3):381-390.
- 23. Nguyen-Grozavu FT, Pierce JP, Sakuma K-LK, et al. Widening disparities in cigarette smoking by race/ethnicity across education level in the United States. Preventive medicine. 2020;139:106220.
- 24. Cornelius ME, Loretan CG, Wang TW, Jamal A, Homa DM. Tobacco product use among adults—United States, 2020. Morbidity and Mortality Weekly Report. 2022;71(11):397.
- 25. Weinberger AH, Chaiton MO, Zhu J, Wall MM, Hasin DS, Goodwin RD. Trends in the prevalence of current, daily, and nondaily cigarette smoking and quit ratios by depression status in the US: 2005–2017. American Journal of Preventive Medicine. 2020;58(5):691-698.
- 26. Patel D, Davis KC, Cox S, et al. Reasons for current E-cigarette use among US adults. *Preventive medicine*. 2016;93:14-20.
- 27. U.S. Food and Drug Administration. FDA Denies Marketing of Logic's Menthol E-Cigarette Products Following Determination They Do Not Meet Public Health Standard. <a href="https://www.fda.gov/news-events/press-announcements/fda-denies-marketing-logics-menthol-e-cigarette-products-following-determination-they-do-not-meet. Published 2022. Accessed Mar 16 2023.
- 28. U.S. Food and Drug Administration. FDA Denies Marketing of Two Vuse Menthol E-Cigarette Products Following Determination They Do Not Meet Public Health Standard. https://www.fda.gov/news-events/press-announcements/fda-denies-marketing-two-vuse-menthol-e-cigarette-products-following-determination-they-do-not-meet. Published 2023. Accessed Mar 16 2023.
- 29. Rostron BL, Chang JT, Chang CM, Jackson RA, Ambrose BK. ENDS Flavor Preference by Menthol Cigarette Smoking Status among US Adults, 2018–2019. International Journal of Environmental Research and Public Health. 2021;18(1):240.

Using JUUL over Two Years in Populations of Special Interest" by Saul Shiffman PhD



Acknowledgments/funding support

JF is primarily funded by the National Institute on Drug Abuse of the National Institutes of Health and the Center for Tobacco Products of the U.S. Food and Drug Administration (under Award Numbers RO1DA048428, UO1DA045517). JF has also recently received a research contract from National Jewish Health, unrelated to this presentation.

The content of this presentation is solely the responsibility of the author and does not necessarily represent the views of the NIH, FDA, or any other funding agency.

JF has done paid consulting for pharmaceutical companies involved in developing and marketing smoking cessation products (e.g. J&J in past year, Pfizer, GSK etc years ago).

JF has not done paid work of any sort for tobacco or e-cig manufacturers.

Very Impressive results, but a 30-day Smoking Cessation rate above 50% at 12 and 24 months seems almost too good to be true.

22,905 current someday/daily smokers who recently made a first Juul starter purchase completed the baseline assessment.

Approx 4,485 (around 20%) did not respond to any follow-up surveys. They are not included in the denominator for any of the subsequent analyses. If they had been recruited to the INTERVENTION arm of an RCT, they would have been included in the denominator in ITT Smoking Cessation rates.

Approx 11,919 completed the 12 m follow-up. That is around two thirds of the 18,420 who completed at least one follow-up, and 52% of all those who completed the baseline.

So the % who quit smoking is being calculated as a proportion of those who responded to each survey. e.g. At 12 months, 6105/11,919 = 51.2%.

If you were <u>using ITT methods</u>, it would be 6105/22,905 = 26.7% had quit smoking.

However, this was not a randomized controlled trial, so it is not improper to report the switch rates the way they were reported by Dr Shiffman, so long as we keep in mind that these are responder quit rates.

We don't know how many of the non-responders also quit smoking. It is probably greater than zero and less than 100%. But there is a tendency for people who have not succeeded in quitting smoking to be less likely to respond.

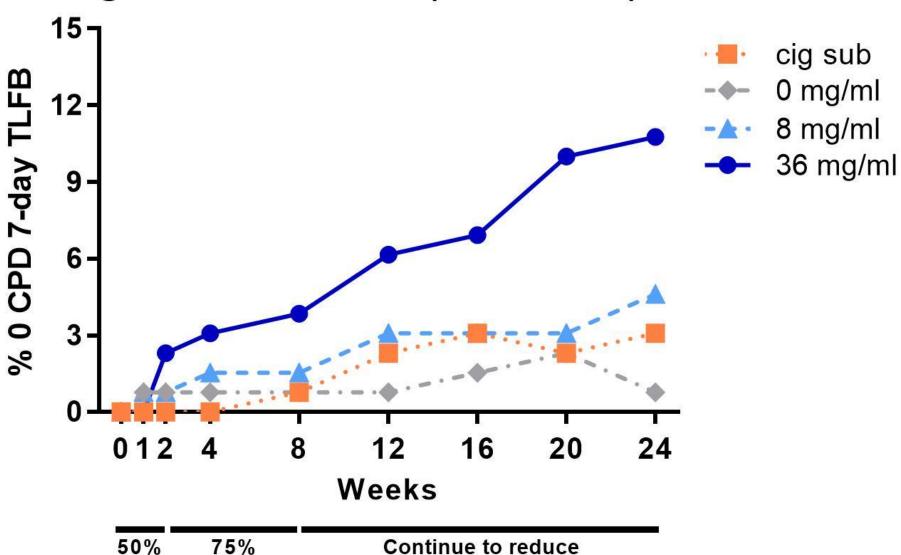
Even taking the most cautious approach, to have at least 26.7% of everyone purchasing a Juul starter kit and volunteering for a study having quit smoking a year later is still very impressive.

Another difference from RCTs is that survey studies do not require biochemical verification of self-reported smoking cessation (e.g. participants blowing an exhaled CO<10ppm).

Strengths of these results include:

- Participants did not need to be planning to quit...many were not.
- Participants were not required to participate in counseling or any other supportive contacts.
- So these results provide "real world" evidence that a high proportion of smokers why purchase a Juul starter pack end up quitting smoking.
- Interestingly, unlike most medication RCTs, the proportion (and absolute number) quitting smoking increased over time.

Cigarette Abstinence (CO-verified) - ITT



Foulds et al. Effect of an electronic nicotine delivery systems on cigarette abstinence in smokers with no plans to quit: Exploratory analysis of a randomized placebo-controlled trial. **Nicotine Tob Res 2022**, 24 (7): 955–961.

When we see similarities in the patterns of results from RCTs and real-world cohort studies, this increases confidence in the results.

- The RCTS are not the same as the real world....
- Products are usually given out for free, participants receive regular encouragement etc.
- But RCTs can tell us, for sure, if ECIGS delivering a moderate amount of nicotine, increase the smoking cessation rate versus placebo or no ECIG, or versus NRT. They do.

Real-world cohort studies can tell us what actually happens in the real world when smokers purchase a product, without additional support. Dr Shiffman's study tells us that when smokers purchase Juul, a high (and increasing) proportion switch from cigarettes to Juul over time. This almost certainly produces a sizeable reduction in health risks for those who switched.