



# The New Drug Approval Process: NDA Submission and Review

Priya Jambhekar

[pjambhekar@eascondultinggroup.com](mailto:pjambhekar@eascondultinggroup.com)

[priya@pbsregulatory.com](mailto:priya@pbsregulatory.com)

646-460-4660

Contact Us:

Food and Drug Law Institute

800-956-6293

[info@fdli.org](mailto:info@fdli.org)



# Roadmap

- A. Content and Organization of a Full NDA**
- B. The Review Process**
- C. Expedited Programs**
- D. Responses to FDA Adverse Decision**
- E. Post-approval Study and Surveillance Requirements**
- F. Critical Path Innovations**

# Purpose of an NDA

- **Enables FDA to determine:**
  - Whether the drug is safe & effective for its proposed indication
  - What should be included in the package insert
  - Whether manufacturing methods & quality controls are adequate to preserve drug's identity, strength, quality & purity

# Package Insert

## HIGHLIGHTS OF PRESCRIBING INFORMATION

These highlights do not include all the information needed to use DEFTELIO safely and effectively. See full prescribing information for DEFTELIO.

**DEFTELIO (defibrotide sodium) injection, for intravenous use**  
Initial U.S. Approval: 2016

### INDICATIONS AND USAGE

DEFTELIO is indicated for the treatment of adult and pediatric patients with hepatic veno-occlusive disease (VOD), also known as sinusoidal obstruction syndrome (SOS), with renal or pulmonary dysfunction following hematopoietic stem-cell transplantation (HSCT). (1)

### DOSAGE AND ADMINISTRATION

- Administer DEFTELIO 6.25 mg/kg every 6 hours given as a 2-hour intravenous infusion. (2.1)
- Treat for a minimum of 21 days. If after 21 days signs and symptoms of VOD have not resolved, continue treatment until resolution. (2.1)

### DOSAGE FORMS AND STRENGTHS

Injection: 200 mg/2.5 mL (80 mg/mL) in a single-patient-use vial. (3)

### CONTRAINDICATIONS

- Concomitant administration with systemic anticoagulant or fibrinolytic therapy. (4)
- Known hypersensitivity to DEFTELIO or to any of its excipients. (4)

### WARNINGS AND PRECAUTIONS

- Hemorrhage: Monitor patients for bleeding. Withhold or discontinue DEFTELIO if significant bleeding occurs. (2.3, 5.1)
- Hypersensitivity Reactions: If severe or life threatening allergic reaction occurs, discontinue DEFTELIO, treat according to standard of care, and monitor until signs and symptoms resolve. (2.3, 5.2)

### ADVERSE REACTIONS

The most common adverse reactions (incidence  $\geq 10\%$  and independent of causality) with DEFTELIO treatment were hypotension, diarrhea, vomiting, nausea and epistaxis. (6.1)

To report SUSPECTED ADVERSE REACTIONS, contact Jazz Pharmaceuticals, Inc. at 1-800-520-5568 or FDA at 1-800-FDA-1088 or [www.fda.gov/medwatch](http://www.fda.gov/medwatch).

### DRUG INTERACTIONS

- DEFTELIO may enhance the activity of antithrombotic/fibrinolytic drugs. (7)

See 17 for PATIENT COUNSELING INFORMATION.

Revised: 03/2016

## FULL PRESCRIBING INFORMATION: CONTENTS\*

### 1 INDICATIONS AND USAGE

### 2 DOSAGE AND ADMINISTRATION

- 2.1 Recommended Dosage
- 2.2 Administration Instructions
- 2.3 Treatment Modification
- 2.4 Preparation Instructions

### 3 DOSAGE FORMS AND STRENGTHS

### 4 CONTRAINDICATIONS

### 5 WARNINGS AND PRECAUTIONS

- 5.1 Hemorrhage
- 5.2 Hypersensitivity Reactions

### 6 ADVERSE REACTIONS

- 6.1 Clinical Trials Experience

### 7 DRUG INTERACTIONS

### 8 USE IN SPECIFIC POPULATIONS

- 8.1 Pregnancy
- 8.2 Lactation

8.4 Pediatric Use

8.5 Geriatric Use

### 10 OVERDOSAGE

### 11 DESCRIPTION

### 12 CLINICAL PHARMACOLOGY

- 12.1 Mechanism of Action
- 12.2 Pharmacodynamics
- 12.3 Pharmacokinetics

### 13 NONCLINICAL TOXICOLOGY

- 13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility
- 13.2 Animal Toxicology and/or Pharmacology

### 14 CLINICAL STUDIES

### 16 HOW SUPPLIED/STORAGE AND HANDLING

### 17 PATIENT COUNSELING INFORMATION

\*Sections or subsections omitted from the full prescribing information are not listed.

# A. Content & Organization of a Full NDA

- **Sources:**
  - **FDCA § 505(b)(1)**
    - High level description of NDA elements
  - **21 CFR Part 314 (i.e., 21 CFR 314.50)**
    - Detailed description of NDA elements
  - **FDA Guidance Documents**
    - Various guidance documents describe the NDA elements in much greater detail

# Content of an NDA

- **Cover Letter**
  - Not officially part of the NDA content and format
  - Vitally important
  - Opportunity to give overall strategy and remind FDA of important events that occurred during development
- **Summary**
  - General understanding of the application
  - Annotated labeling text identifying supporting information from technical section of NDA
- **Chemistry, Manufacturing, and Controls (CMC)**
  - Drug substance and drug product

# Content of an NDA

- **Non-Clinical Data**
  - **Animal and *in vitro* studies**
    - Pharmacology/toxicology (the good and the bad)
    - Statement of compliance with GLPs
- **Pharmacokinetics/Bioavailability**
  - In humans (how much of the product is taken into the body, e.g., bloodstream, and how long it takes for the body to get rid of it)
- **Microbiology**
  - Only for anti-infective drugs only (the biochemical basis of the drug's action on microbial physiology, antimicrobial spectra of the drug, & mechanisms of resistance to the drug, & laboratory procedures for effective use of the drug)

# Content of an NDA

- **Clinical Data**
  - Description and analysis of studies
  - Summary of efficacy and safety
  - Benefits outweigh risks
  - Safety updates
- **Statistical**
  - Description of statistical analysis
- **Non-Clinical Data**
  - Animal and *in vitro* studies
    - Pharmacology/toxicology
    - Statement of compliance with GLPs



# Content of an NDA

- **Pediatric Use**
  - Pediatric rule (1998 – drugs used in pediatric populations must be tested in pediatric populations)
- **Samples and Labeling (and Packaging, if Requested by FDA)**
  - FDA validation of analytical procedures
  - Labeling (package insert and patient labeling)
- **Case Report Forms**
  - Tabulations of clinical data
  - Case report forms for deaths, adverse events

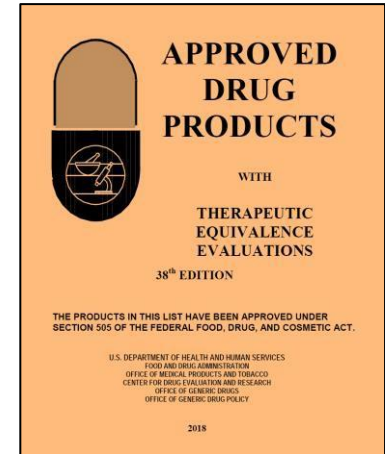
# Content of an NDA

- **Patent Information**

- Information for Applicant’s own patents (21 CFR 314.54)
- Certification for other patents (21 CFR 314.50(h))
- No process patents
- *Note:*
  - NDA patent information is provided in FDA’s “Approved Drug Products with Therapeutic Evaluations” (i.e., the “Orange Book”)

- **Disclosure/Certification**

- Investigator financial certification
- Debarment certification
- Claimed exclusivities
- Compliance with clinical trial disclosure requirements



# Drug Master Files (DMFs)

- Submission to FDA of information concerning facilities, processes, or ingredients for a drug
- Method for supplying information in a confidential manner
- May be referenced by “DMF holder” or others (with permission) in an application (e.g., IND, NDA, or ANDA)
- **DMF Types:**
  - **Type 1:** Manufacturing Site
  - **Type II:** Drug substance
  - **Type III:** Packaging materials
  - **Type IV:** Inactive ingredients
  - **Type V:** Any other

***Website:***

<https://www.fda.gov/drugs/forms-submission-requirements/drug-master-files-dmfs>

***Guidance:***

<https://www.fda.gov/drugs/guidances-drugs/drug-master-files-guidelines>

***Regulation:***

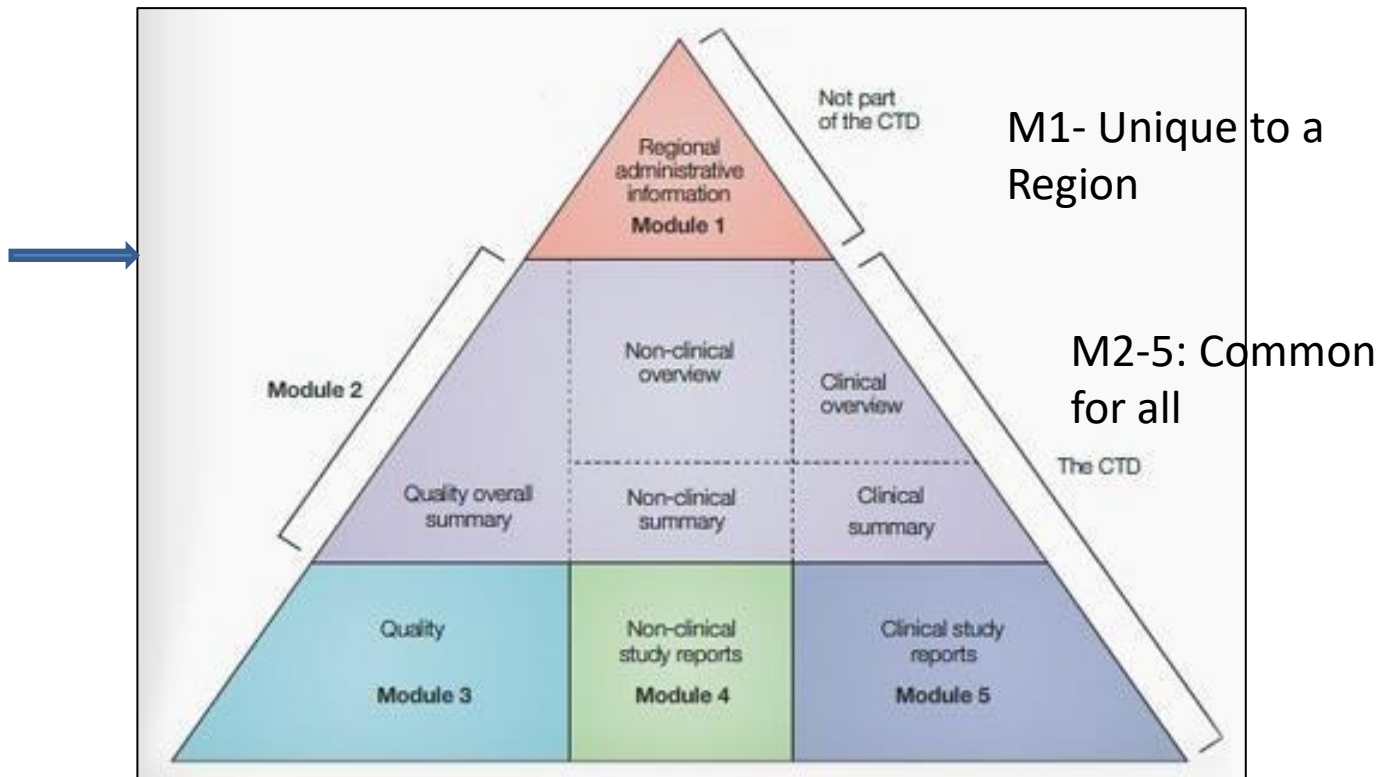
21 CFR 314.420

# Use of the Common Technical Document (CTD)

- **CTD is a set of specs for regulatory applications & related submissions**
- **Harmonizes technical documentation for US, Europe, and Japan**
- **Int'l Conference on Harmonization (ICH)**
- **Five main modules:**
  - (1) Administrative Information
  - (2) Overviews and Summaries (Quality, non-clinical and clinical)
  - (3) Quality (pharmaceutical documentation)
  - (4) Non-Clinical Reports (pharmacology/toxicology)
  - (5) Clinical Study Reports (clinical trials)

# The 5 CTD Modules

ORGANIZATION OF A MARKETING APPLICATION



# Electronic Common Technical Document (eCTD)

- **Electronic submission**
  - eCTD format is required
    - 5 May 17 – NDAs
    - 5 May 18 - INDs
  - FDA eCTD Web Page:  
<https://www.fda.gov/drugs/forms-submission-requirements/electronic-regulatory-submission-and-review>

The screenshot shows the FDA's "Electronic Regulatory Submission and Review" web page. The page title is "Electronic Regulatory Submission and Review" with navigation links for "Home", "About", "Help", and "Contact Us". The main content area is titled "This page provides information about the electronic submission of regulatory information to the Center and the review of it by CDER staff. The Electronic Common Technical Document (eCTD) is the standard, accepted electronic format for the following submissions type:"

- New Drug Application (NDA)
- Abbreviated New Drug Application (ANDA)
- Investigational New Drug Application (IND)
- Biologics License Application (BLA)
- Master File: Drug Master File (DMF) and Biologics Master File (BMF)
- Emergency Use Authorization (EUA)

Below the list, there are instructions for users to visit the eCTD web page for more information and to access a wide variety of resources and support regarding eCTD submissions. There are also links for "Instructions for Guidance Compliance Test Submissions" and "The VTRTrader test account: See Setting up a VTR Trader Account Checklist for details".

The page also states that CDER requires the Guidance Compliance Test submission in order to validate the format of your submission, your understanding of eCTD submission process, and to make sure FDA systems can receive and load your submission.

- NDA, BLA, ANDA, DMF, and Commercial DTD guidance compliance test submissions must be in eCTD format.
- Select "CDER" as the Center
- Select "eCTD" as Submission Type
- The six 4-digit number as the test application number
- Select an eCTD response folder. Do not submit a single file as this will not pass validation. Do not include .exe, .zip file, RAR file or other archive as this will not pass validation.
- The test submission must contain at least include a FDA Form (299a for NDA, BLA, ANDA or 424 for IND), an form for DMF, cover letter, and all DTD components
- For non-commercial Research DTD guidance compliance test submission must be either eCTD or a folder containing the non-eCTD file(s)
- For eCTD test submissions, follow instructions above
- For non-eCTD submissions (only for non-commercial DTD submissions), select "CDER" as the Center and select "IND" as Submission Type
- Select a folder with eCTD documents. Do not submit a single file as this will not pass validation. Do not include .exe, .zip file, RAR file or other archive as this will not pass validation.
- An eCTD publishing tool is recommended to automatically create the eCTD response folder and file structure. This does not recommend specific tool vendors, however, they can be located via internet search.

For information on eCTD format, please see [www.fda.gov/eetd](http://www.fda.gov/eetd)

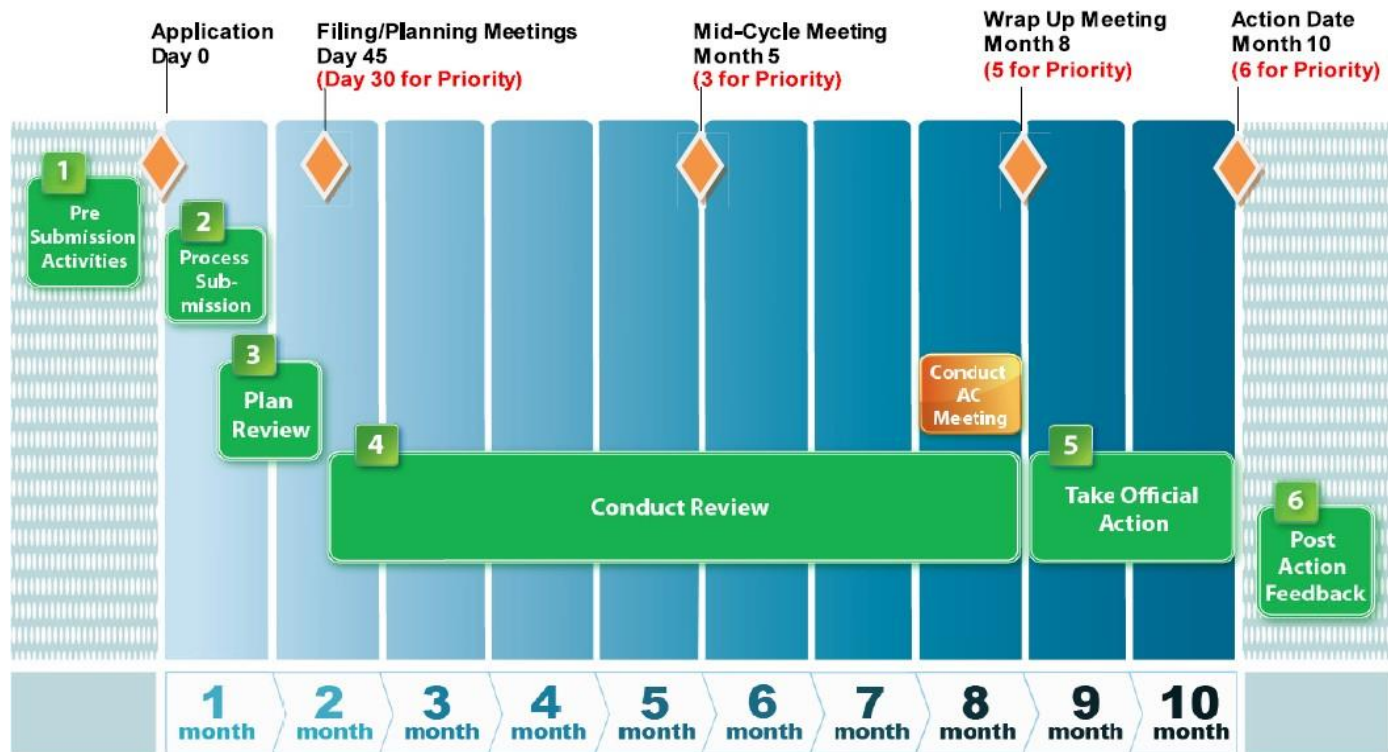
Questions and general information regarding the preparation of submissions in electronic format may be directed to CDER at [etd@fda.hhs.gov](mailto:etd@fda.hhs.gov) or CDER at [etd@pdr.fda.gov](mailto:etd@pdr.fda.gov). Questions regarding submissions of datasets to CDER may be sent to [etd@fda.hhs.gov](mailto:etd@fda.hhs.gov).

At the bottom of the page, there is a "About FDA's Data Standards Program" button and a "U.S. FOOD & DRUG ADMINISTRATION" logo.

# **B. The Review Process**

- **User Fees and Goals (PDUFA)**
- **The Review Clock and Impact of PDUFA**
- **Interacting with FDA**
- **Pre-Approval Inspections (PAIs)**
- **Complete Response and Approval Letters**

## Overview of the NDA/BLA Review Process and Major Steps for Completing the Review



Note: The timeline for review of NMEs/BLAs under PDUFA V's "Program" Review extends the *Conduct Review* Phase by two months. See Appendix A for a timeline diagram for PDUFA V.



# User Fees and Goals (PDUFA)

- **Prescription Drug User Fee Act (PDUFA) was enacted in 1992**
  - Intended to address U.S. “drug lag”
  - Reauthorized every 5 years
  - Last reauthorized in 2017 as part of FDARA (PDUFA VI)
- **Leading up to PDUFA reauthorization, FDA and stakeholders negotiate goals**
- **PDUFA outlines the “user fees” drug sponsors must pay for FDA activities related to prescription drug reviews**
  - Application Fees
  - Program Fees
  - No fees for clinical supplements, manufacturing facilities etc. [replaced with program fees]
- **FDA agrees to user fee “goals” for review timelines and additional goals or programs (e.g., patient-focused drug development meetings)**

# FDA User Fee Table FY2021

<a href="#"><u>Prescription Drug User Fee Act (PDUFA VI)</u></a>	FY2021	FY2020	Change
<b><i>Applications:</i></b>			
Requiring clinical data	<b>\$2,875,842</b>	<b>\$2,942,965</b>	<b>-\$67,123</b>
Not requiring clinical data	<b>\$1,437,921</b>	<b>\$1,471,483</b>	<b>-\$33,562</b>
Program fee	<b>\$336,432</b>	<b>\$325,424</b>	<b>+\$11,008</b>

# PDUFA VI User Fee Goals (FY18-22)

**Table 1: Original and Resubmitted Applications and Supplements:**

SUBMISSION COHORT	STANDARD	PRIORITY
<b>NME NDAs and original BLAs</b>	90% in 10 months of the 60 day filing date	90% in 6 months of the 60 day filing date
<b>Non NME NDAs</b>	90% in 10 months of the receipt date	90% in 6 months of the receipt date
<b>Class 1 Resubmissions</b>	90% in 2 months of the receipt date	90% in 2 months of the receipt date
<b>Class 2 Resubmissions</b>	90% in 6 months of the receipt date	90% in 6 months of the receipt date
<b>Original Efficacy Supplements</b>	90% in 10 months of the receipt date	90% in 6 months of the receipt date
<b>Class 1 Resubmitted Efficacy Supplements</b>	90% in 2 months of the receipt date	90% in 2 months of the receipt date
<b>Class 2 Resubmitted Efficacy Supplements</b>	90% in 6 months of the receipt date	90% in 6 months of the receipt date

**Table 2:**

	PRIOR APPROVAL	ALL OTHER
<b>Manufacturing Supplements</b>	90% in 4 months of the receipt date	90% in 6 months of the receipt date

# Interacting with FDA

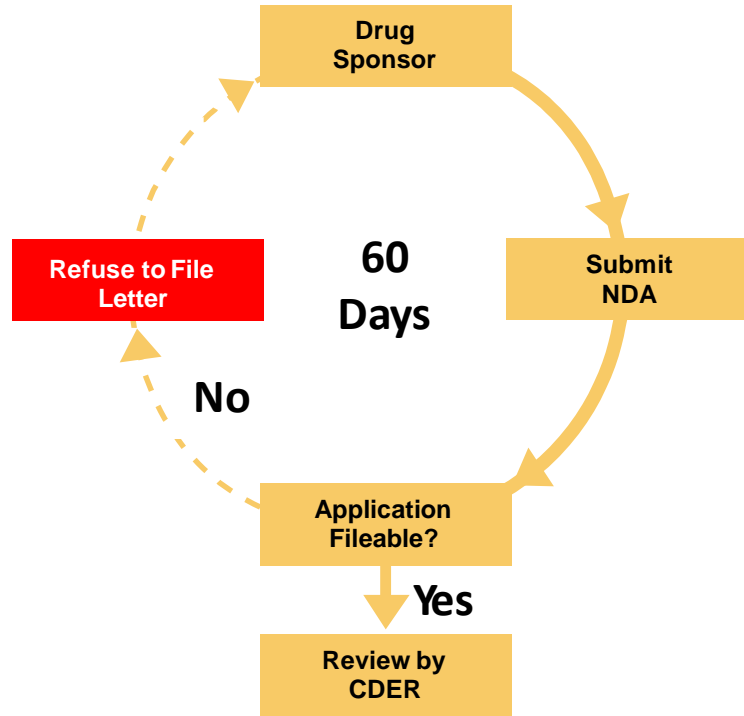
- **Premarket Review/Good Review Management Principles**
- **Special Protocol Assessment (SPA)**
- **Approval Meetings; Product Application Meetings**
- **Advisory Committees**

# Premarket Review/ Good Review Management Principles

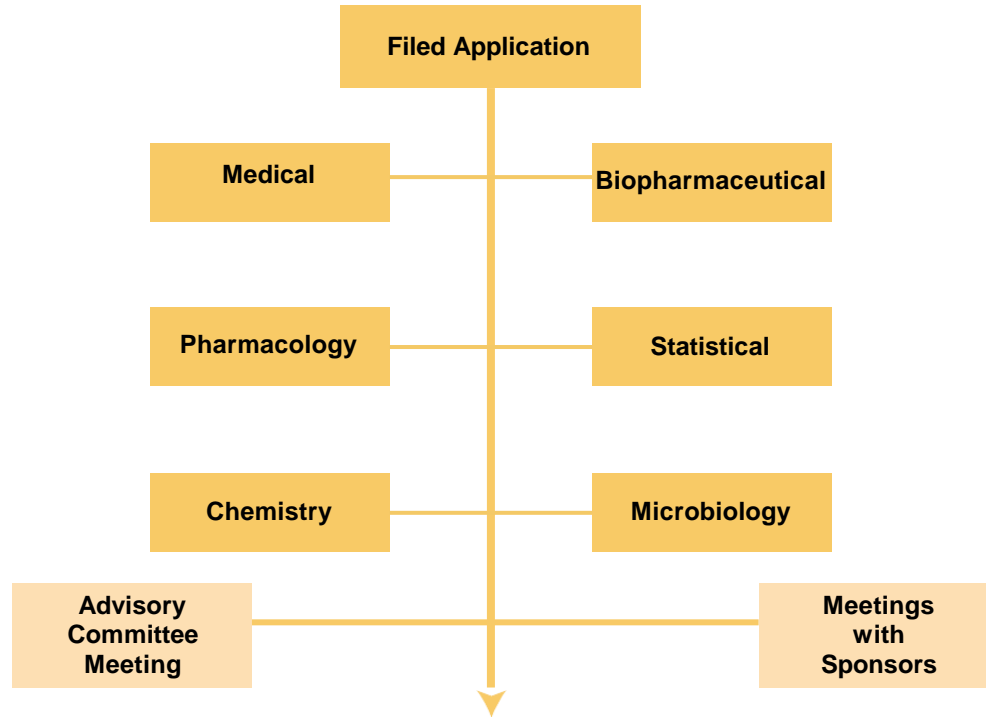
- **Clarify roles and responsibilities of review staff in managing the review process**
- **Identifies principles and time goals for review and action on drug applications**
  - 1. Filing Determination & Review Planning Phase
  - 2. Review Phase
  - 3. Advisory Committee Meeting Phase
  - 4. Action Phase:
    - Wrap-Up, Labeling, Signatory Review Documentation, Regulatory Action
  - 5. Post-Action Phase

Guidance: <https://www.fda.gov/media/72259/download>

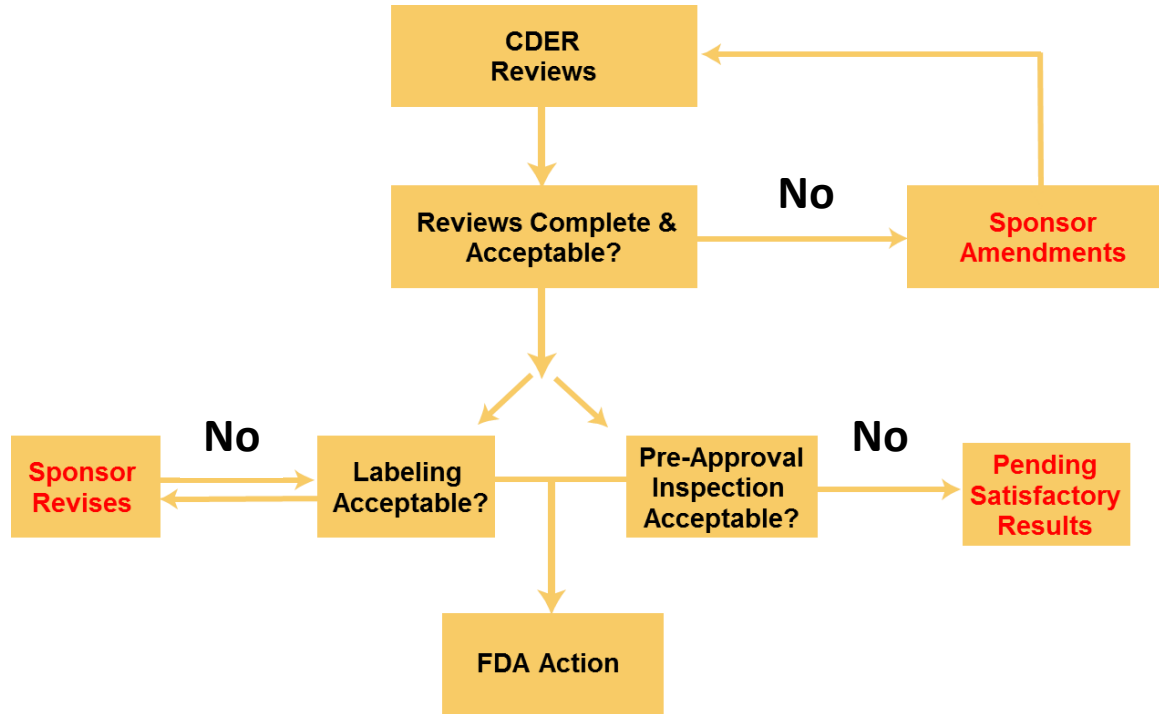
# Reviews and Review Clocks



# Reviews and Review Clocks



# Reviews and Review Clocks





# Special Protocol Assessment (SPA)

- **Mechanism for requesting feedback from FDA on:**
  - Protocols
    - I.e., animal carc., final product stability, Phase 3 clinical trials
  - Whether protocols are adequate to meet scientific and regulatory requirements identified by the sponsor
- **45-day PDUFA review clock**
- **SPA agreement may be rescinded**
  - “FDA may rescind an SPA agreement when the division director or senior management determines that a substantial scientific issue essential to determining the safety or efficacy of the product has been identified after the trial has begun.”
  - FDA states that less than 1% have been rescinded

## Special Protocol Assessment Guidance for Industry

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

April 2018  
Procedural

Revision 1

OMB Control Number 0910-0470  
Expiration Date: 5/31/2020  
See additional PRA statement in section XI of this guidance

# Approval Meetings; Product Application Meetings

- Sponsors may request meetings with FDA
  - FDA grants meetings unless “clearly unnecessary” or “premature”
- Meeting types (Face-to-Face/TC/VTC or Written Response Only)
  - **Type A:** Dispute resolution, clinical hold, protocol assessment, post-action/RTF
  - **Type B:** Pre-IND, Pre-NDA, REMS, BT, pre-EUA
  - **Type B (EOP):** End-of-Phase 2, certain EOP1
  - **Type C:** Other than Type A, B, or B (EOP)
- Pre-meeting submissions (request & briefing/meeting package)
- Preliminary responses from FDA
- Memorandum of meeting

## Formal Meetings Between the FDA and Sponsors or Applicants of PDUFA Products Guidance for Industry

*DRAFT GUIDANCE*

This guidance document is being distributed for comment purposes only.

Comments and suggestions regarding this draft document should be submitted within 90 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <https://www.regulations.gov>. Submit written comments to the Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number listed in the notice of availability that publishes in the *Federal Register*.

For questions regarding this draft document, contact (CDER) Rachel Kichline at 301-796-0319 or (CBER) the Office of Communication, Outreach, and Development at 800-835-4709 or 240-402-8010.

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

December 2017  
Procedural

1218080.doc  
12/18/2017

**Table A: Meeting Management Procedural Goals**

Meeting Type	FDA Response to Request	FDA Receipt of Meeting Package	FDA Preliminary Responses to Requester (if applicable†)	Requester Response to FDA Preliminary Responses (if applicable†)	FDA Scheduled Meeting Date (days from receipt of request)	FDA Meeting Minutes to Requester (if applicable†)
A	14 days	With meeting request	No later than 2 days before meeting	--	Within 30 days	30 days after meeting
B	21 days	No later than 30 days before meeting	No later than 2 days before meeting	--	Within 60 days	30 days after meeting
B (EOP)*	14 days	No later than 50 days before meeting**	No later than 5 days before meeting	No later than 3 days after receipt of preliminary responses	Within 70 days	30 days after meeting
C	21 days	No later than 47 days before meeting***	No later than 5 days before meeting	No later than 3 days after receipt of preliminary responses	Within 75 days	30 days after meeting

†Not applicable to written response only.

\* EOP = end of phase

\*\* If the scheduled date of a Type B (EOP) meeting is earlier than 70 days from FDA receipt of the meeting request, the requester's meeting package will be due no sooner than 6 calendar days after FDA response time for issuing the letter granting the meeting (see Table 1 in section VI.B., Meeting Granted).

\*\*\* If the scheduled date of a Type C meeting is earlier than 75 days from FDA receipt of the meeting request, the meeting package will be due no sooner than 7 calendar days after FDA response time for issuing the letter granting the meeting. Note that for Type C meetings that are requested as early consultations on the use of a new surrogate endpoint to be used as the primary basis for product approval in a proposed context of use, the meeting package is due at the time of the meeting request.

# Advisory Committees

- FDA generally has discretion to call advisory committee meetings
- FDA seeks advice for specific scientific & medical questions (e.g., re data in NDA)
  - Advisory committees hear testimony (usually from FDA & stakeholders), discusses, and votes
  - FDA is not bound by advisory committee's vote
- Pediatric Advisory Committee dispute resolution is the only required AdCom mtg
- FDCA § 505(n) describes appointment process and membership criteria
- Federal Advisory Committee Act (FACA)
  - Meetings must be open to the public
  - Materials supplied to committee are public
  - Exceptions: (FOIA) trade secrets, etc.

**Website:** [www.fda.gov/AdvisoryCommittees/](http://www.fda.gov/AdvisoryCommittees/) **Laws, Regulation, and Guidance:** [www.fda.gov/AdvisoryCommittees/AboutAdvisoryCommittees/LawsRegulationsGuidance/default.htm](http://www.fda.gov/AdvisoryCommittees/AboutAdvisoryCommittees/LawsRegulationsGuidance/default.htm)

# Pre-Approval Inspections (PAIs)

- **Goals:**
  - Ensure drug establishment is ready to manufacture the drug
  - Verify that the conformance of drug manufacturing to application specifications
  - Check the integrity of application data (data audit)
- **Application Recommendations:**
  - Withhold or Approve
- **Compliance Policy Guide 7346.832**

# Complete Response & Approval Letters

- **Complete Response (21 CFR 314.110)**
  - Possible reasons to refuse to approve outlined in 21 CFR 314.125 (e.g., inadequate evidence of effectiveness & safety, inadeq. CMC, inadeq. labeling)
  - **Sponsors can:**
    - Resubmit with new PDUFA review goals
    - Withdraw the marketing application
    - Request an opportunity for hearing
- **FDA Approval Letter (21 CFR 314.105)**
  - If none of the reasons to refuse to approve outlined in 21 CFR 314.125
  - Marketing appl'n may be approved if there are minor labeling deficiencies

# C. Expedited Programs

- **Expedited programs:**
  - **Fast track**
  - **Priority review**
  - **Breakthrough therapy designation (also RMAT)**
  - **Accelerated Approval**
- **These programs are intended to speed the development and approval of therapies to treat serious, life-threatening diseases and conditions**
- **Competing interests:**
  - Ensuring safety & effectiveness vs. avoiding undue delay
- **Development program may be eligible for multiple expedited programs**

Guidance for Industry  
Expedited Programs for Serious  
Conditions – Drugs and  
Biologics

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

May 2014  
Procedural

OMB Control No. 0910-0765  
Expiration Date: 03/31/2017  
See additional PRA statement in section X of this guidance.

# Fast Track & Priority Review

## – **Fast Track** (FDCA § 506(b))

- For serious conditions and demonstrated potential to fill unmet medical need
- Also available to sponsors of drugs designated by FDA as Qualified Infection Disease Products (QIDPs)
- More FDA meetings and interactions & rolling review of application

## – **Priority Review** (PDUFA)

- For serious conditions and demonstrated potential for significant improvement in safety or effectiveness
- Also available to sponsors that redeem priority review vouchers (tropical disease, rare pediatric disease, medical countermeasure priority review vouchers, FDCA § § 524, 529, 565A)
- FDA reviews application within 6 months (rather than 10 months)



# Breakthrough Therapy Designation

- For serious condition and preliminary evidence indicates that the drug may demonstrate substantial improvement on a clinically significant endpoint(s) over available therapies
- Intensive guidance on efficient drug development; organizational commitment; rolling review; other actions to expedited review (including a potentially shorter review time)
- FDCA § 506(a)

# Regenerative Medicine Advanced Therapy (RMAT) Designation

- **Established by 21<sup>st</sup> Century Cures Act (FDCA § 506(g)), a drug is eligible for RMAT designation if:**
  - The drug is a regenerative medicine therapy, which is defined as a cell therapy, therapeutic tissue engineering product, human cell and tissue product, or any combination product using such therapies or products, except for those regulated solely under Section 361 of the Public Health Service Act and CFR Part 1271;
  - The drug is intended to treat, modify, reverse, or cure a serious or life-threatening disease or condition; **AND**
  - Preliminary clinical evidence indicates that the drug has the potential to address unmet medical needs for such disease or condition
- **Features:**
  - Expedited development and review, including early interactions with FDA to discuss surrogate or intermediate endpoints to support accelerated approval; also eligible for priority review

# Accelerated Approval/Subpart H

- Unlike Fast Track, Priority Review, Breakthrough, and RMAT which reduce FDA’s review time, Accelerated Approval shortens the Sponsor’s clinical development time
- For serious conditions with a meaningful advantage over available therapy
- Permits the use of a “surrogate endpoint” that is likely to predict clinical benefit (e.g., viral load, tumor shrinkage)
- Sponsors required to conduct post-marketing studies to confirm benefit (i.e., confirmatory trials)
- FDCA § 506(c); 21 CFR 314.500

Designation	Fast Track	Breakthrough Therapy	Accelerated Approval	Priority Review
<b>Timing</b>	At submission of IND through pre-NDA/pre-BLA meeting	At submission of IND or later (preferably before EOP2 meeting); must have prelim. clinical data	No formal process; discuss with FDA during development, including use of planned endpoint and confirmatory studies	At time of submission of NDA or BLA
<b>Eligibility</b>	<ol style="list-style-type: none"> <li>1. Serious condition, AND</li> <li>2. Potential to fill <b><u>unmet medical need</u></b></li> </ol>	<ol style="list-style-type: none"> <li>1. Serious condition, AND</li> <li>2. Preliminary data <b>⑦</b> may have <b><u>substantial improvement</u></b> over existing therapies on clinically significant endpoint</li> </ol>	<ol style="list-style-type: none"> <li>1. Serious condition, AND</li> <li>2. <b><u>Meaningful advantage</u></b> over existing therapies, AND</li> <li>3. <b><u>Surrogate or intermediate endpoint</u></b> reasonably likely to predict clinical benefit</li> </ol>	<ol style="list-style-type: none"> <li>1. Serious condition, AND</li> <li>2. <b><u>Significant improvement</u></b> over existing therapies on safety or efficacy</li> </ol>
<b>Type of Data</b>	<b><u>Preclinical data</u></b> is acceptable as is clinical data	Must have <b><u>clinical data</u></b>	Must have <b><u>clinical data</u></b> with a surrogate or intermediate endpoint	-

# D. Responses to FDA Adverse Decisions

- **Administrative Matters**
  - Consumer safety officer
  - Ombudsman
- **Scientific & Medical Disputes**
  - End-of-review meeting
  - Appeal within hierarchy under the regulations
  - Formal dispute resolution
  - Right to advisory committee review

# Responses to FDA Adverse Decisions

- **Right to a Hearing on Refusal to Approve an Application**
  - Applicants have a right to an administrative hearing if FDA refuses to approve an application
  - FDCA § 505(d)
- **Judicial Review of Refusal to Approve an Application**
  - Applicants have a right to judicial review in U.S. Court of Appeals
  - Deference to scientific determinations
  - Rarely invoked
  - FDCA § 505(h)
- **Judicial Review of Approval of a Competitor's Application**
  - Extremely uncommon (if at all)

## **E. Post-Approval Study & Surveillance Reqts**

- **Risk Evaluation and Mitigation Strategies (REMS)**
- **Safety Labeling Changes**
- **Post-Approval Study Requirements**
- **FDAAA (Post-Approval Surveillance)**

# REMS

- REMS are required risk management plans that use risk minimization strategies beyond the professional labeling to ensure that the benefits of certain prescription drugs outweigh their risks
- Essentially, a REMS is a safety strategy to manage a known or potential serious risk associated with a medicine and to enable patients to have continued access to such medicines by managing their safe use



# REMS

- **FDA may require REMS for a drug:**
  - To ensure benefits outweigh risks (new & marketed drugs) (FDCA § 505-1(a));  
**or**
  - If “new safety information” causes FDA to determine a REMS is necessary to ensure benefits of the drug outweigh the risks (FDCA § 505-1(a)(2))
- **Information sources include: clinical trials; epidemiological studies; adverse event reports; literature; FDA monitoring system. (FDCA § 505-1(b)(3))**
- **REMS@FDA Website:**
  - <https://www.accessdata.fda.gov/scripts/cder/remis/index.cfm>

# REMS

- REMS must address “serious risks” (e.g., death, immediate risk of death, hospitalization, incapacity, birth defects)
- REMS can be required for a single drug or a class of drugs
- FDA will generally inform a company that REMS are required; companies then submit a proposed REMS (FDCA § 505-1(a)(1))
- Disagreements are handled through a dispute resolution procedure (FDCA § 505-1(h))
- FDA can enforce REMS as statutory violations (FDCA § 505(p))

# REMS

- **Companies must submit written assessments to FDA at predefined intervals (FDCA § 505-1(d)-(g))**
- **REMS may be modified, added, or removed (FDCA § 505-1(g)-(h))**
- **Existing REMS apply to generic versions, with limitations (FDCA § 505-1(i))**

# REMS Elements

- **Medication Guide to Patients (FDCA § 505-1(e)(2))**
- **Communications to Health Care Professionals (FDCA § 505-1(e)(3))**
- **Elements to Assure Safe Use (ETASU) (FDCA § 505-1(f)(3))**
  - For drugs with “inherent toxicity” or potential harmfulness
  - ETASU “elements” must be commensurate with the specific, serious risk & not unduly burdensome on patient access
  - *May include:*
    - Physician training programs; pharmacy certification; central pharmacy distribution; restrictions on use settings; patient registry enrollment; specific patient monitoring

# Safety Labeling Changes

- **FDA may requires safety labeling changes for already approved drugs (FDCA § 505(o)(4))**
  - Criterion:
    - Whether new safety info “should be included” in the labeling
  - Sponsors are notified by FDA
  - Sponsors submit labeling changes in a supp’l appl’n
  - Failure to comply is a statutory violation

# Post-Approval Study Requirements

- **FDA may require post-approval safety studies**
  - FDA may only require post-approval safety studies if adverse event reporting and active post-market risk identification and analysis system are inadequate to assess drug risks
  - FDCA § 505(o)(3)(D)
- **Purpose of studies**
  - Assess known, serious risk; signals of serious risk; or identify an unexpected serious risk when available data indicates the potential for a serious risk
  - FDCA § 505(o)(3)(D)
- **FDA may impose post-approval study req'ts on basis of “new safety information”**
  - FDCA § 505(o)(3)(C)

# Post-Approval Surveillance

- **FDA Sentinel Initiative** 
  - Public/private effort led by FDA & Cntr for Medicare & Medicaid Svcs (CMS)
  - **Goal:** Create “Sentinel System,” an integrated, national, electronic system, for proactively tracking reports of adverse events linked to use of med. products
- **Drug Safety Website**
  - FDAAA required publicly-available labeling information, access to adverse event reports and summaries, and online submission of adverse event reports
  - <https://www.fda.gov/drugs/drug-safety-and-availability/postmarket-drug-safety-information-patients-and-providers>

# F. Critical Path Innovation Meetings

- **Developed as means by which CDER and investigators from industry, academia, patient advocacy groups & govt can communicate to improve efficiency & success in drug dev't.**
- **Goals:**
  - (1) To discuss a methodology or technology proposed by the meeting requester; and
  - (2) for CDER to provide general advice on how this methodology/tech might enhance drug dev't.
- **Potential topics:**
  - Trial design (e.g., natural history study designs)
  - Biomarkers
  - Clinical endpoints (e.g., patient-reported outcomes)
  - Emerging technologies or new uses of existing technologies
- **Stakeholders may submit a request for CPIM**

**Guidance:**

<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/critical-path-innovation-meetings>

**Policy and Procedures:**

<http://www.fda.gov/downloads/AboutFDA/CentersOffices/OfficeofMedicalProductsandTobacco/CDER/ManualofPoliciesProcedures/UCM422216.pdf>



# Thank You

## Questions?

[pjambhekar@easconsultinggroup.com](mailto:pjambhekar@easconsultinggroup.com)

[priya@pbsregulatory.com](mailto:priya@pbsregulatory.com)

646-460-4660